

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number		10687783
Filing Date		2003-10-17
First Named Inventor	Heuser	
Art Unit	3738	
Examiner Name	Suzette Jaime J. Gherbi	
Attorney Docket Number	HEU 309	

U.S.PATENTS						<a href="#">Remove</a>
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5330486		1994-07-19	Wilk	
	2	5354271		1994-10-11	Voda	
	3	5356486		1994-10-18	Sugarman et al.	
	4	5364392		1994-11-15	Warner et al.	
	5	5370459		1994-12-06	Culbertson et al.	
	6	5380304		1995-01-10	Parker	
	7	5395341		1995-03-07	Slater	
	8	5403341		1995-04-04	Solar	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10687783
Filing Date		2003-10-17
First Named Inventor	Heuser	
Art Unit		3738
Examiner Name	Suzette Jaime J. Gherbi	
Attorney Docket Number		HEU 309

	9	5423774		1995-06-13	Fischell et al.	
	10	5437292		1995-08-01	Kipshidze et al.	
	11	5439446		1995-08-08	Barry	
	12	5443478		1995-08-22	Purdy	
	13	5458573		1995-10-17	Summers	
	14	5462359		1995-10-31	Reichl et al.	
	15	5462529		1995-10-31	Simpson et al.	
	16	5466230		1995-11-14	Davila	
	17	5499975		1996-03-19	Cope et al.	
	18	5512291		1996-04-30	Li	
	19	5514236		1996-05-07	Avellanet et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10687783
Filing Date		2003-10-17
First Named Inventor	Heuser	
Art Unit		3738
Examiner Name	Suzette Jaime J. Gherbi	
Attorney Docket Number		HEU 309

	20	5545193		1996-08-13	Fleischman et al.	
	21	5549626		1996-08-27	Miller et al.	
	22	5578008		1996-11-26	Hara	
	23	5591137		1997-01-07	Stevens	
	24	5591206		1997-01-07	Moufarrege	
	25	5599325		1997-02-04	Ju et al.	
	26	5620457		1997-04-15	Pinchasik et al.	
	27	5628786		1997-05-13	Banas et al.	
	28	5632760		1997-05-27	Sheiban et al.	
	29	5632762		1997-05-27	Myler	
	30	5645560		1997-07-08	Crocker et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10687783
Filing Date		2003-10-17
First Named Inventor	Heuser	
Art Unit		3738
Examiner Name	Suzette Jaime J. Gherbi	
Attorney Docket Number		HEU 309

	31	5660473		1997-08-26	Noma et al.	
	32	5665107		1997-09-09	Hammerslag	
	33	5681295		1997-10-28	Gyure et al.	
	34	5683453		1997-11-04	Palmaz	
	35	5688266		1997-11-18	Edwards et al.	
	36	5695498		1997-12-09	Tower	
	37	5707359		1998-01-13	Bufalini	
	38	5725524		1998-03-10	Mulier et al.	
	39	5733044		1998-03-31	Rose et al.	
	40	5735892		1998-04-07	Myers et al.	
	41	5743900		1998-04-28	Hara	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10687783
Filing Date		2003-10-17
First Named Inventor	Heuser	
Art Unit		3738
Examiner Name	Suzette Jaime J. Gherbi	
Attorney Docket Number		HEU 309

	42	5769077		1998-06-23	Lindegren	
	43	5792070		1998-08-11	Kauphusman et al.	
	44	5800393		1998-09-01	Sahota	
	45	5807350		1998-09-15	Diaz	
	46	5814016		1998-09-29	Valley et al.	
	47	5814064		1998-09-29	Daniel et al.	
	48	5820607		1998-10-13	Tcholakian et al.	
	49	5830222		1998-11-03	Makower	
	50	5830224		1998-11-03	Cohn et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

**U.S.PATENT APPLICATION PUBLICATIONS**

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
-------------------	---------	--------------------	------------------------	------------------	---	--

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number	10687783
Filing Date	2003-10-17
First Named Inventor	Heuser
Art Unit	3738
Examiner Name	Suzette Jaime J. Gherbi
Attorney Docket Number	HEU 309

	1					
--	---	--	--	--	--	--

If you wish to add additional U.S. Published Application citation information please click the Add button.

## **FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> i	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

## **NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

## **EXAMINER SIGNATURE**

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10687783
Filing Date	2003-10-17
First Named Inventor	Heuser
Art Unit	3738
Examiner Name	Suzette Jaime J. Gherbi
Attorney Docket Number	HEU 309

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/s/ Peter E. Heuser	Date (YYYY-MM-DD)	2007-05-31
Name/Print	Peter E. Heuser	Registration Number	27902

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.